



PATENT APPLICATION
Attorney's Do. No. 9898-217
Client Ref. No. SS-16232-US-RCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jae-Hyun Joo and Wan-Don Kim

Serial No. 10/055,270

Group Art Unit: 2814

Filed: January 22, 2002

Examiner: Mai, Anh D.

For: METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE HAVING A
METAL-INSULATOR CAPACITOR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT
(37 CFR 1.97(e))**

I, Alan T. McCollom, the person signing below state that:

each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
Date: July 29, 2003

Christina Lawton

2814



ATTORNEY DOCKET NO. 9898-217
CLIENT REF. NO. SS-16232-US-RCE

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jae-Hyun Joo and Wan-Don Kim Confirmation No. 6757
Serial No.: 10/055,270 Examiner: Mai, Anh D.
Filing Date: January 22, 2002 Group Art Unit: 2814
Title: METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE HAVING A METAL-INSULATOR CAPACITOR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
☒ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom
Reg. No. 28,881

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Christina Lawton



PATENT APPLICATION

Atty. Docket No.:
Applicant:
Filing Date:

9898-217
Jae-Hyun Joo and Wan-Don Kim
January 22, 2002

Serial No. 10/055,270
Group: 2814

INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub</u> <u>Class</u>
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_____	_____					
_____	_____					
_____	_____					

FOREIGN PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	P2001-0026123	04/06/01	Korea	Jong Yong Yun
_____	_____	P1999-0062504	07/26/99	Korea	Gabusikigaisha
_____	_____	JP5102040	04/23/93	Japan	Toyko Electron Ltd.

OTHER DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English Abstract of P2001-0026123
_____	_____	English Abstract of P1999-0062504
_____	_____	English Abstract of JP5102040

Examiner: _____

Date Considered: _____